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### UTILITY PATENT APPLICATION **TRANSMITTAL**

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|------------------------------------|-------|------|------|------|----|-----|-----|
| Attorney Docket No.                |       | 208  | 350. | .150 | )  |     |     |
| First Inventor                     |       | Car] |      |      |    |     |     |
| Title SHO                          | E SOL | E TO | IMI  | PROV | Æ. | WAL | KIN |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attorney Docket No.    |          | 20850.150            |   |
|------------------------|----------|----------------------|---|
| First Inventor         |          | Carlos A. Khantzis   |   |
| Title                  | SHOE SOL | E TO IMPROVE WALKING | ż |
| Express Mail Label No. |          | o. EV 312730478US    |   |

| APPLICATION ELEMENTS   |  |           | DDRESS TO            | D: Box Pa               | tent Applicati                   |                      |
|--|--|-----------|----------------------|-------------------------|----------------------------------|----------------------|
| See MPEP chapter 600 conce   |  |           | ngton, DC 20         |                         |                                  |                      |
| Foo Transmittal Form (e.g., PTO/SB/17)   |  |           |                      |                         | table of To                      |                      |
| 1. Submit an original and a di   | (Submit an original and a duplicate for fee processing)  Applicant claims small entity status. |           |                      | r Amino Acid            | Seauence S                       | ubmission ⊃ O        |
| 2. X Applicant claims sn<br>See 37 CFR 1.27.   | nail entity status.  | •         | (if applicable, all  | necessary)              |                                  | C) F                 |
|  | [Total Pages 48]   |           | Compute              | er Readable i           | Form (CRF)                       | 548<br>0/8(          |
| 3. (preferred arrangement s  | set forth below)   |           | . Specification      | Sequence Lis            | sting on:                        | 7548<br>10/8         |
| - Descriptive title o  | to Related Applications  |           |                      | D-ROM or C              |                                  |                      |
| <ul> <li>Statement Regal</li> </ul>  | rding Fed sponsored R & D  |           |                      | D-KOM G                 | D-IT (Z copic                    | 3, 5                 |
| - Reference to sec   | uence listing, a table,  |           |                      | aper                    |                                  | . 1                  |
| or a computer pr<br>- Background of the  | ogram listing appendix   |           | ~                    | ents verifying          |                                  |                      |
| Drief Summary (  | of the Invention   | ]         | ACCOMPA              | NYING AF                | PLICATION                        | ON PARTS             |
| - Brief Description  | of the Drawings (it filed).  | Í         |                      |                         |                                  | document(s))         |
| - Detailed Descrip   | tion   |           |                      | 3.73(b) State           |                                  | Power of             |
| <ul><li>Claim(s)</li><li>Abstract of the I</li></ul>   | Disclosure   |           | 0. (when the         | ere is an ass           | ignee) 🗀                         | ☐ Attorney           |
| i  | 7  | ١, ١      |                      | Translation D           |                                  | applicable)          |
| 4. X Drawing(s) (35 U.   | S.C. 113) [ Total Sheets   | ı j       | Informati            | ion Disclosu            | e [                              | フ Copies of IDS      |
| 5. Oath or Declaration   | [ Total Pages 7  | ]         | Stateme              | ent (IDS)/PTC           | )-1449 <u> </u>                  | 니 Citations          |
| <del></del>  |  |           |                      | ary Amendm              |                                  |                      |
| — Comittom a   | ted (original or copy) prior application (37 CFR 1.63 (d))                                     |           | 4. X Return          | Receipt Post            | card (MPEP                       | 503)                 |
| b. (for continuat  | ion/divisional with Box 18 completed   | ,         | 4. X (Should         | be specifical           | iy itemizea)<br>                 | nt/o\                |
| DELETI   | ON OF INVENTOR(S)  |           | 5. Certified         | d Copy of Priority is o | aimed)                           | enu(s)               |
| Signed state   | ement attached deleting inventor(s)  |           | Monout               | lication Real           | est under 35                     | SU.S.C. 122          |
| Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  16. X  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  |  |           |                      |                         |                                  |                      |
| 1.63(0)(2)   | and 1.35(0).   |           | or its ed            | uivalent.               |                                  |                      |
| 6. Application Data Sheet. See 37 CFR 1.76   |  |           |                      |                         |                                  |                      |
|  | CATION, check appropriate box, and   | cupply f  | requisite informa    | tion below ar           | nd in a prelim                   | inary amendment,     |
| 18. If a CONTINUING APPLIC   | CATION, check appropriate box, and   | Supply u  | requience an entire  |                         | Ÿ                                |                      |
| or in an Application Data She  | Divisional Continuation-in-part  | CIP)      | of prior application | on No.:                 | J                                |                      |
| Continuation   |  |           | Course And I finds   | •                       |                                  | <del></del> ,        |
| Prior application information:   | Examiner  ONAL APPS only: The entire disclosure  | of the pr |                      |                         | or declaration                   | n is supplied under  |
| For CONTINUATION OR DIVISION OF THE CONTINUATION OR DIVISION OR DIVISI | ONAL APPS only: The entire disclosure the disclosure of the accompanying of                    | ntinuatio | or divisional applic | cation and is t         | iereby incorpo<br>ication parts. | orated by reference. |
| The incorporation can only be  | Leffed fiboti attett a botnott ing pasti m   |           |                      | doutten abbi            |                                  |                      |
|  | 19. CORRESP  | UNDENC    | ADDRESS              |                         |                                  |                      |
| or X Correspondence address below  |  |           |                      |                         |                                  |                      |
| X Customer Number or Ber Code Label 021907   |  |           |                      |                         |                                  |                      |
|  | DOGGA C CUEN III   |           |                      |                         |                                  |                      |
| Name   | ROZSA & CHEN LLE   |           |                      |                         |                                  | ,                    |
|  | 15910 Ventura Boulevard  |           |                      |                         |                                  |                      |
| Address  | Suite 1601   |           |                      |                         |                                  | 1 01 406             |
|  | Encino   | S         | e CA                 |                         | Zip Code                         |                      |
| City   |  | Talant    | 10 (010)7            | 2-0000                  | Fax                              | (818)783-            |
| Country  | USA  | Teleph    | ie   (RTR)\          | 83 <u>-099</u> 0        |                                  |                      |
|  | T D  |           | Parietration N       | o. (Attornev            | (Agent) 2                        | 9,210                |
| Name (Print/Type)   Thomas 1. ROZSA   Negratuative (   |  |           |                      |                         |                                  |                      |
|  | Thomas 129   | yeu.      |                      |                         | <b>DUID</b>   -                  | -11-2004             |
| Signature  |  | in The    | very depending up    | on the needs o          | f the Individual                 | case. Any comments   |

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# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

1,236.00 (\$)

| Complete if Known    |                    |  |
|----------------------|--------------------|--|
| Application Number   |                    |  |
| Filing Date          |                    |  |
| First Named Inventor | Carlos A. Khantzis |  |
| Examiner Name        |                    |  |
| Group Art Unit       |                    |  |
| Attorney Docket No.  | 20850.150          |  |

| METHOD OF PAYMENT   | FEE CALCULATION (continued)   |             |  |  |  |
|---|---|-------------|--|--|--|
| The Commissioner is hereby authorized to charge   | 3. ADDITIONAL FEES  |             |  |  |  |
| indicated fees and credit any overpayments to:  | Large Small   |             |  |  |  |
| Deposit<br>Account 18-2222  | Entity Entity Fee Fee Fee Fee Fee Description Fe  | ee Paid     |  |  |  |
| Number  | Code (\$) Code (\$)   |             |  |  |  |
| Deposit Account ROZSA & CHEN LLP  | 105 130 205 65 Surcharge - late filing fee or oath  |             |  |  |  |
| Name L  | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet                                  |             |  |  |  |
| X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17                                   |   |             |  |  |  |
| X Applicant claims small entity status.   | 139 130 139 130 Non-English specification   |             |  |  |  |
| See 37 CFR 127  | 147 2,520 147 2,520 For filing a request for ex parte reexamination                                   |             |  |  |  |
| 2. Payment Enclosed:  | 112 920* 112 920* Requesting publication of SIR prior to Examiner action                              |             |  |  |  |
| X Check Credit card Corder Cottler  | 113 1,840* 113 1,840* Requesting publication of SIR after   |             |  |  |  |
| FEE CALCULATION   | Examiner action  115 110 215 55 Extension for reply within first month                                | ·           |  |  |  |
| 1. BASIC FILING FEE   | Cotonian for early within account month   |             |  |  |  |
| Large Entity Small Entity   | 116 400 216 200 Extension for reply within second month   |             |  |  |  |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid  | 118 1,440 218 720 Extension for reply within fourth month   |             |  |  |  |
| 101 740 201 <b>365</b> Utility filing fee 385   | 128 1,960 228 980 Extension for reply within fifth month  |             |  |  |  |
| 106 330 206 165 Design filing fee   |   |             |  |  |  |
| 107 510 207 255 Plant filing fee  | 119 320 219 160 Notice of Appeal  |             |  |  |  |
| 108 740 208 370 Reissue filing fee  | 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing       |             |  |  |  |
| 114 160 214 80 Provisional filing fee   |   |             |  |  |  |
| SUBTOTAL (1) (\$) 385   | Delite de composidable  |             |  |  |  |
| 0001017/2(1)  | 140 110 240 55 Petition to revive - unavoidable  141 1.280 241 640 Petition to revive - unintentional |             |  |  |  |
| 2. EXTRA CLAIM FEES   | 141 1,200 241 010   |             |  |  |  |
| Extra Claims below Fee Paid   | 143 460 243 230 Design issue fee  |             |  |  |  |
| Total Claims 62 -20** = 42 x 9 = 378 independent 14 - 3** = 11 x 43 = 473                         | 144 620 244 310 Plant issue fee   |             |  |  |  |
| Claims Multiple Dependent   | 122 130 122 130 Petitions to the Commissioner   |             |  |  |  |
| Williams Department   | 123 50 123 50 Processing fee under 37 CFR 1.17(q)   |             |  |  |  |
| Large Entity Small Entity   | 126 180 126 180 Submission of Information Disclosure Stmt   |             |  |  |  |
| Fee Fee Fee Fee Description Code (\$) Code (\$)   | 581 40 581 40 Recording each patent assignment per  |             |  |  |  |
| 103 18 203 9 Claims in excess of 20   | property (times number of properties)   |             |  |  |  |
| 102 84 202 4243 Independent claims in excess of 3   | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))                         |             |  |  |  |
| 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))                      |             |  |  |  |
| over original patent  | 179 740 279 370 Request for Continued Examination (RCE)   |             |  |  |  |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent                           | 169 900 169 900 Request for expedited examination   |             |  |  |  |
|   | of a design application   |             |  |  |  |
| SUBTOTAL (2) (\$) 851   | Other fee (specify)   | <del></del> |  |  |  |
| **or number previously paid, if greater; For Reissues, see above                                  | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)   |             |  |  |  |

Complete (if applicable) SUBMITTED BY Registration No. Telephone (818) 783-0990 29,210 Thomas I. Rozsa Name (Print/Type) 3-11-2004 9 Rossa Date Signature

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## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor               |  | Carlos A. Khantzis |
|------------------------------------|--|--------------------|
| Title Shoe Sole To Improve Walking |  |                    |
| Atty Docket Number                 |  | 20850.150          |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 11, 2004

Date

, I Rozar

Signature

Thomas I. Rozsa

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Serial No.: 0

/(to be assigned) Group No.:

Filed:

Examiner:

For:

Assistant Commissioner for Patents Washington, D.C. 20231

### **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number \_\_\_\_EV 312730478 US

Date of Deposit \_\_\_March 11, 2004

I hereby certify that the following attached paper or fee

COMBINED DECLARATION AND POWER OF ATTORNEY for the Invention

Entitled:

SHOE SOLE TO IMPROVE WALKING, SENSORY RESPONSE OF

THE TOES, AND HELP DEVELOP LEG MUSCLES

by Inventor(s):

Carlos A. Khantzis

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Thomas I. Rozsa, Esq.

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